### MIX is a 2-MCFL

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Workshop on Multiple Context-Free Grammars and Related Formalisms

#### Outline

The MIX and  $O_2$  languages

Multiple Context Free Grammars (MCFGs)

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A grammar for  $O_2$ 

A Theorem on Jordan curves

Conclusion and conjectures

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- Joshi 1985: [MIX] represents the extreme case of the degree of free word order permitted in a language. This extreme case is linguistically not relevant. [...] TAGs also cannot generate this language although for TAGs the proof is not in hand yet.
- Joshi et al. 1990: Mildly Context Sensitive Grammars capture only certain kinds of dependencies, e.g, nested dependencies and certain limited kinds of crossing dependencies (e.g., in the subordinate clause constructions in Dutch or some variations of them but perhaps not in the so-called MIX (or Bach) language) [...] MCTAGS also belong to Mildly Context Sensitive Grammars...

# The $O_2$ language

$$O_2 = \{ w \in \{a; \overline{a}; b; \overline{b}\}^* ||w|_a = |w|_{\overline{a}} \wedge |w|_b = |w|_{\overline{b}} \}$$

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$$O_2 = \{w \in \{a; \overline{a}; b; \overline{b}\}^* ||w|_a = |w|_{\overline{a}} \land |w|_b = |w|_{\overline{b}}\}$$
  
The  $O_2$  language is of interest in computational group theory:

▶ the monoid homomorphism  $z : \{a; \overline{a}; b; \overline{b}\}^* \to \mathbb{Z}^2$  such that  $z(a) = (1,0), \ z(\overline{a}) = (-1,0), \ z(b) = (0,1), \ z(\overline{b}) = (0,-1)$  has  $O_2$  as kernel.

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- ► O<sub>2</sub> is a group language that is not context free. An open question is whether it is an indexed language.

The following transductions are due to Kanazawa:

▶ There is a rational transduction from  $O_2$  to MIX: let  $R = \{a|b|\overline{a}\overline{b}\}^*$ , then  $MIX = h(O_2 \cap R)$  if h(a) = a, h(b) = b,  $h(\overline{a}) = c$  and  $h(\overline{b}) = \epsilon$ .

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- ▶ There is a rational transduction from *MIX* to *O*<sub>2</sub>: let  $R = \{abab|cc|cbcb|aa\}^*$ , then *O*<sub>2</sub>, then  $O_2 = g^{-1}(MIX \cap R)$  with g(a) = abab,  $g(\overline{a}) = cc$ ,  $g(b) = cbcb \ g(\overline{b}) = aa$ .

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- There is a rational transduction from MIX to O<sub>2</sub>: let R = {abab|cc|cbcb|aa}\*, then O<sub>2</sub>, then O<sub>2</sub> = g<sup>-1</sup>(MIX ∩ R) with g(a) = abab, g(ā) = cc, g(b) = cbcb g(b) = aa.
  NB: w ∈ MIX ∩ R iff |w|<sub>abab</sub> + |w|<sub>aa</sub> = |w|<sub>cbcb</sub> + |w|<sub>abab</sub> = |w|<sub>cc</sub> + |w|<sub>cbcb</sub> iff |w|<sub>abab</sub> = |w|<sub>cc</sub> and |w|<sub>cbcb</sub> = |w|<sub>aa</sub>.

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Thus *MIX* belongs to a rational cone iff  $O_2$  does.

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MCFGs are context free grammars of tuples of strings. A MCFG, G is a tuple (N, T, S, R) where:

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- ► *R* is the set of rules of the form:

$$A(s_1,...,s_n):-B_1(x_1^1,...,x_{k_1}^1),...,B_m(x_1^m,...,x_{k_m}^m)$$

where the  $s_i$  are strings of  $T \cup \{x_j^i | i \in [1, m], j \in [1, k_i]\}$  so that  $x_i^i$  has at most one occurrence in  $s_1 \dots s_n$ .

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The language defined by G is  $\{s|S(s) \text{ is derivable}\}$ . If the maximal arity of N is lower than k, G is a k-MCFG.

The languages definable with MCFGs are Multiple Context Free Languages (MCFLs). MCFLs form an Abstract Family of Language (thus they are closed under rational transduction), and are exactly captured by many kinds of formalisms:

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# A 2-MCFG for $O_2$

$$\begin{array}{c} S(xy) \coloneqq lnv(x,y) \\ \hline lnv(x_1y_1, y_2x_2) \coloneqq lnv(x_1, x_2), lnv(y_1, y_2) \\ lnv(x_1x_2y_1, y_2) \coloneqq lnv(x_1, x_2), lnv(y_1, y_2) \\ lnv(y_1, x_1x_2y_2) \coloneqq lnv(x_1, x_2), lnv(y_1, y_2) \\ lnv(y_1x_1x_2, y_2) \coloneqq lnv(x_1, x_2), lnv(y_1, y_2) \\ lnv(y_1, y_2x_1x_2) \coloneqq lnv(x_1, x_2), lnv(y_1, y_2) \\ \hline lnv(x_1y_1x_2, y_2) \coloneqq lnv(x_1, x_2), lnv(y_1, y_2) \\ lnv(x_1, y_1x_2y_2) \coloneqq lnv(x_1, x_2), lnv(y_1, y_2) \\ \hline lnv(\alpha, \overline{\alpha}) \coloneqq lnv(\alpha, \overline{\alpha}) \coloneqq lnv(\overline{\alpha}, \alpha) = lnv(\overline{\alpha$$

where  $\alpha \in \{a; b\}$ 

# A 2-MCFG for O<sub>2</sub>

$$\begin{array}{c} S(xy) \coloneqq lnv(x,y) \\ \hline lnv(x_1y_1, y_2x_2) \coloneqq lnv(x_1, x_2), lnv(y_1, y_2) \\ lnv(x_1x_2y_1, y_2) \coloneqq lnv(x_1, x_2), lnv(y_1, y_2) \\ lnv(y_1, x_1x_2y_2) \coloneqq lnv(x_1, x_2), lnv(y_1, y_2) \\ lnv(y_1x_1x_2, y_2) \coloneqq lnv(x_1, x_2), lnv(y_1, y_2) \\ \hline lnv(x_1y_1x_2, y_2) \coloneqq lnv(x_1, x_2), lnv(y_1, y_2) \\ \hline lnv(x_1y_1x_2, y_2) \coloneqq lnv(x_1, x_2), lnv(y_1, y_2) \\ \hline lnv(x_1, y_1x_2y_2) \coloneqq lnv(x_1, x_2), lnv(y_1, y_2) \\ \hline lnv(\alpha, \overline{\alpha}) \coloneqq lnv(\alpha, \overline{\alpha}) \coloneqq lnv(\overline{\alpha}, \alpha) \vdash lnv(\overline{\alpha}, \alpha) = lnv(\overline{\alpha}, \alpha) \vdash lnv(\overline{\alpha}, \alpha) = lnv$$

where  $\alpha \in \{a; b\}$ 

**Theorem:** Given  $w_1$  and  $w_2$  such that  $w_1w_2 \in O_2$ ,  $Inv(w_1, w_2)$  is derivable.

A graphical interpretation of  $O_2$ .

Graphical interpretation of the word aaabaabaabbbbbbaaabbbbbbbaaaa:



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Rule  $Inv(x_1y_1x_2, y_2) := Inv(x_1, x_2), Inv(y_1, y_2)$ 



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Rule  $Inv(x_1, y_1x_2y_2)$ :- $Inv(x_1, x_2), Inv(y_1, y_2)$ 



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## Parsing with the grammar

Rule:  $Inv(x_1y_1, y_2x_2)$ :- $Inv(x_1, x_2), Inv(y_1, y_2)$ 



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**Theorem:** Given  $w_1$  and  $w_2$  such that  $w_1w_2 \in O_2$ ,  $Inv(w_1, w_2)$  is derivable.

The proof is done by induction on the lexicographically ordered pairs  $(|w_1w_2|, \max(|w_1|, |w_2|))$ . There are five cases:

**Case 1:**  $w_1$  or  $w_2$  equal  $\epsilon$ :



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w.l.o.g.,  $w_1 \neq \epsilon$ , then by induction hypothesis, for any  $v_1$  and  $v_2$  different from  $\epsilon$  such that  $w_1 = v_1v_2$ ,  $Inv(v_1, v_2)$  is derivable then:

$$\frac{lnv(v_1, v_2) - lnv(\epsilon, \epsilon)}{lnv(v_1v_2 = w_1, \epsilon)} lnv(x_1x_2y_1, y_2):-lnv(x_1, x_2), lnv(y_1, y_2)$$

**Theorem:** Given  $w_1$  and  $w_2$  such that  $w_1w_2 \in O_2$ ,  $Inv(w_1, w_2)$  is derivable.

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**Case 2:**  $w_1 = s_1 w'_1 s_2$  and  $w_2 = s_3 w'_2 s_4$  and for  $i, j \in \{1, 2, 3, 4\}$ , s.t.  $i \neq j$ ,  $\{s_i, s_j\} \in \{\{a, \overline{a}\}, \{b, \overline{b}\}\}$ :

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**Case 2:**  $w_1 = s_1 w'_1 s_2$  and  $w_2 = s_3 w'_2 s_4$  and for  $i, j \in \{1, 2, 3, 4\}$ , s.t.  $i \neq j$ ,  $\{s_i, s_j\} \in \{\{a, \overline{a}\}; \{b, \overline{b}\}\}$ : e.g., if i = 1, j = 2,  $s_1 = a$  and  $s_2 = \overline{a}$  then by induction hypothesis  $Inv(w'_1, w_2)$  is derivable and:

$$\frac{\operatorname{Inv}(a,\overline{a}) - \operatorname{Inv}(w_1',w_2)}{\operatorname{Inv}(aw_1'\overline{a},w_2)} \operatorname{Inv}(x_1y_1x_2,y_2):-\operatorname{Inv}(x_1,x_2), \operatorname{Inv}(y_1,y_2)$$

**Theorem:** Given  $w_1$  and  $w_2$  such that  $w_1w_2 \in O_2$ ,  $Inv(w_1, w_2)$  is derivable.

The proof is done by induction on the lexicographically ordered pairs  $(|w_1w_2|, \max(|w_1|, |w_2|))$ . There are five cases:

**Case 3:** the curves representing  $w_1$  and  $w_2$  have a non-trivial intersection point:

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**Case 4:** the curve representing  $w_1$  or  $w_2$  starts or ends with a loop:

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**Case 4:** the curve representing  $w_1$  or  $w_2$  starts or ends with a loop:



$$\frac{lnv(v_1,\epsilon)}{lnv(v_1,v_2=w_1,w_2)}$$

**Theorem:** Given  $w_1$  and  $w_2$  such that  $w_1w_2 \in O_2$ ,  $Inv(w_1, w_2)$  is derivable.

The proof is done by induction on the lexicographically ordered pairs  $(|w_1w_2|, \max(|w_1|, |w_2|))$ . There are five cases:

**Case 5:**  $w_1$  and  $w_2$  do not start or end with compatible letters, the curve representing then do not intersect and do not start or end with a loop.

w.l.o.g. we may assume that w<sub>1</sub> and w<sub>2</sub> start and end with a or b,

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- ▶ if we consider subwords w<sub>1</sub>' and w<sub>2</sub>' of w<sub>1</sub> and w<sub>2</sub> obtained by erasing factors of w<sub>1</sub> and w<sub>2</sub> that are in O<sub>2</sub>, we have:

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- $w'_1$  and  $w'_2$  start or end with *a* or *b*,
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  - if  $w'_1 = v'_1v'_2v'_3$  such that  $v'_1v'_3$  and  $v'_2w'_2$  are in  $O_2$  then  $w_1 = v_1v_2v_3$  so that  $v_1v_3$  and  $v_2w_2$  are in  $O_2$ .
  - if  $w'_2 = v'_1 v'_2 v'_3$  such that  $v'_1 v'_3$  and  $w'_1 v'_2$  are in  $O_2$  then  $w_2 = v_1 v_2 v_3$  so that  $v_1 v_3$  and  $w_1 v_2$  are in  $O_2$ .

- w.l.o.g. we may assume that w<sub>1</sub> and w<sub>2</sub> start and end with a or b,
- ▶ if we consider subwords w<sub>1</sub>' and w<sub>2</sub>' of w<sub>1</sub> and w<sub>2</sub> obtained by erasing factors of w<sub>1</sub> and w<sub>2</sub> that are in O<sub>2</sub>, we have:
  - $w'_1$  and  $w'_2$  start or end with *a* or *b*,
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  - ▶ we will prove the existence of such v'<sub>1</sub>, v'<sub>2</sub> and v'<sub>3</sub> for any such w'<sub>1</sub> and w'<sub>2</sub>.

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An invariant on the Jordan curve representing  $w'_1 w'_2$ :



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The MIX and  $O_2$  languages

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A grammar for  $O_2$ 

A Theorem on Jordan curves

Conclusion and conjectures

## On Jordan curves



Figure 13.1 Two Jordan curves.

illustration from: A combinatorial introduction to topology by Michael Henle (Dover Publications).

### On Jordan curves



Figure 13.1 Two Jordan curves.

illustration from: A combinatorial introduction to topology by Michael Henle (Dover Publications). **Theorem:** There is  $k \in \{-1; 1\}$  such that the winding number of Jordan curve around a point in its interior is k, its winding number around a point in its exterior is 0.

**Theorem:** If A and D are two points on a Jordan curve J such that there are two points A' and D' inside J such that  $\overrightarrow{AD} = \overrightarrow{A'D'}$ , then there are two points B and C pairwise distinct from A and D such that A, B, C, and D appear in that order on one of the arcs going from A to D and  $\overrightarrow{AD} = \overrightarrow{BC}$ .



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Applying this Theorem solves case 5.



Simple curves, translations, intersections and the complex exponential

Let's suppose that D - A = 1



 $\varphi$  transforms arcs performing translation of k into arc that have k as winding number around 0.

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Simple curves, translations, intersections and the complex exponential



 $\varphi$  sums up the winding number of a Jordan curve around the  $A_i$  as the winding number around  $\varphi(A_0) = \varphi(0) = 1$ .

Simple curves, translations, intersections and the complex exponential



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Simple curves, translations, intersections and the complex exponential



**Lemma:** a simple path J from A to D (resp. D to A) does not contain B and C as required in the Theorem iff  $\varphi(J)$  is a simple curve of  $\mathbb{C} - \{0\}$  that belong to the homotopy class 1 (resp. -1).- ロ ト - 4 回 ト - 4 □ - 4
Simple curves, translations, intersections and the complex exponential



**Lemma:** a simple path J from A to D (*resp.* D to A) does not contain B and C as required in the Theorem iff  $\varphi(J)$  is a simple curve of  $\mathbb{C} - \{1\}$  that belong to the homotopy class 0 or 1 (*resp.* or -1).

Let wn(J, z) be the winding number of a closed curve around z.

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**Corollary:** if J is a simple closed curve of  $\mathbb{C}$  composed with two curves  $J_1$  and  $J_2$  respectively going from A to D and D to A which do not contain points B and C as required in the Theorem then  $wn(\varphi(J), 1) = wn(\varphi(J_1), 1) + wn(\varphi(J_2), 1)$  is in  $\{-1; 0; 1\}$ .

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The Theorem follows by contradiction.

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# Conclusion

- we have showed that O<sub>2</sub> is a 2-MCFL exhibiting the first non-virtually free group language that is proved to belong to an interesting class of language,
- this implies that contrary to the usual conjecture we have showed that *MIX* is a 2-MCFLs.

Well-nestedness:

 $\begin{array}{c} \text{Well-nested} \\ Inv(y_1x_1x_2, y_2):-Inv(x_1, x_2), Inv(y_1, y_2) \\ \\ \text{Not well-nested} \\ Inv(y_1x_1y_2, x_2):-Inv(x_1, x_2), Inv(y_1, y_2) \end{array}$ 

MCFG<sub>wn</sub> are MCFGs with well-nested rules.

- MCFL<sub>wn</sub> coincide with non-duplicating IO/OI,
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Open question:

▶ Is *O*<sub>3</sub> an MCFL?