# MIX is a $2-\mathrm{MCFL}$ 

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Workshop on Multiple Context-Free Grammars and Related Formalisms

## Outline

The MIX and $O_{2}$ languages

Multiple Context Free Grammars (MCFGs)

A grammar for $\mathrm{O}_{2}$

A Theorem on Jordan curves

Conclusion and conjectures

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## The MIX language

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The MIX language and computational linguists:

- Joshi 1985: [MIX] represents the extreme case of the degree of free word order permitted in a language. This extreme case is linguistically not relevant. [...] TAGs also cannot generate this language although for TAGs the proof is not in hand yet.


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- Joshi 1985: [MIX] represents the extreme case of the degree of free word order permitted in a language. This extreme case is linguistically not relevant. [...] TAGs also cannot generate this language although for TAGs the proof is not in hand yet.
- Joshi et al. 1990: Mildly Context Sensitive Grammars capture only certain kinds of dependencies, e.g, nested dependencies and certain limited kinds of crossing dependencies (e.g., in the subordinate clause constructions in Dutch or some variations of them but perhaps not in the so-called MIX (or Bach) language) [...] MCTAGS also belong to Mildly Context Sensitive Grammars. . .


## The $\mathrm{O}_{2}$ language

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O_{2}=\left\{\left.w \in\{a ; \bar{a} ; b ; \bar{b}\}^{*}| | w\right|_{a}=|w|_{\bar{a}} \wedge|w|_{b}=|w|_{\bar{b}}\right\}
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The $\mathrm{O}_{2}$ language is of interest in computational group theory:

- the monoid homomorphism $z:\{a ; \bar{a} ; b ; \bar{b}\}^{*} \rightarrow \mathbb{Z}^{2}$ such that $z(a)=(1,0), z(\bar{a})=(-1,0), z(b)=(0,1), z(\bar{b})=(0,-1)$ has $O_{2}$ as kernel.


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- $\mathrm{O}_{2}$ is a group language that is not context free. An open question is whether it is an indexed language.


## MIX and $\mathrm{O}_{2}$ are rationally equivalent

The following transductions are due to Kanazawa:

- There is a rational transduction from $O_{2}$ to MIX: let $R=\{a|b| \bar{a} \bar{b}\}^{*}$, then $M I X=h\left(O_{2} \cap R\right)$ if $h(a)=a$, $h(b)=b, h(\bar{a})=c$ and $h(\bar{b})=\epsilon$.


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- There is a rational transduction from MIX to $\mathrm{O}_{2}$ : let $R=\{a b a b|c c| c b c b \mid a a\}^{*}$, then $O_{2}$, then $O_{2}=g^{-1}(M I X \cap R)$ with $g(a)=a b a b, g(\bar{a})=c c$, $g(b)=c b c b g(\bar{b})=a a$.


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NB: $w \in \operatorname{MIX} \cap R$ iff $|w|_{a b a b}+|w|_{a a}=|w|_{c b c b}+$ $|w|_{a b a b}=|w|_{c c}+|w|_{c b c b}$ iff $|w|_{a b a b}=|w|_{c c}$ and $|w|_{c b c b}=|w|_{a a}$.


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Thus MIX belongs to a rational cone iff $O_{2}$ does.


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## Multiple Context Free Grammars

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- $R$ is the set of rules of the form:

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A\left(s_{1}, \ldots, s_{n}\right):-B_{1}\left(x_{1}^{1}, \ldots, x_{k_{1}}^{1}\right), \ldots, B_{m}\left(x_{1}^{m}, \ldots, x_{k_{m}}^{m}\right)
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where the $s_{i}$ are strings of $T \cup\left\{x_{j}^{i} \mid i \in[1, m], j \in\left[1, k_{i}\right]\right\}$ so that $x_{j}^{i}$ has at most one occurrence in $s_{1} \ldots s_{n}$.

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The language defined by $G$ is $\{s \mid S(s)$ is derivable $\}$. If the maximal arity of $N$ is lower than $k, G$ is a $k$-MCFG.

## Other characterization of MCFLs.

The languages definable with MCFGs are Multiple Context Free Languages (MCFLs). MCFLs form an Abstract Family of
Language (thus they are closed under rational transduction), and are exactly captured by many kinds of formalisms:

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- etc...


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## A 2-MCFG for $\mathrm{O}_{2}$

| $S(x y):-\operatorname{Inv}(x, y)$ |
| :---: |
| $\operatorname{Inv}\left(x_{1} y_{1}, y_{2} x_{2}\right):-\operatorname{Inv}\left(x_{1}, x_{2}\right), \operatorname{Inv}\left(y_{1}, y_{2}\right)$ |
| $\operatorname{Inv}\left(x_{1} x_{2} y_{1}, y_{2}\right):-\operatorname{Inv}\left(x_{1}, x_{2}\right), \operatorname{Inv}\left(y_{1}, y_{2}\right)$ |
| $\operatorname{Inv}\left(y_{1}, x_{1} x_{2} y_{2}\right):-\operatorname{Inv}\left(x_{1}, x_{2}\right), \operatorname{Inv}\left(y_{1}, y_{2}\right)$ |
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| $\operatorname{Inv}(\epsilon, \epsilon):-$ |
| $\operatorname{Inv}(\alpha, \bar{\alpha}):-$ |
| $\operatorname{Inv}(\bar{\alpha}, \alpha):-$ |

where $\alpha \in\{a ; b\}$

## A 2-MCFG for $\mathrm{O}_{2}$

| $S(x y):-\operatorname{Inv}(x, y)$ |
| :---: |
| $\operatorname{Inv}\left(x_{1} y_{1}, y_{2} x_{2}\right):-\operatorname{Inv}\left(x_{1}, x_{2}\right), \operatorname{Inv}\left(y_{1}, y_{2}\right)$ |
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| $\operatorname{Inv}(\epsilon, \epsilon):-$ |
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where $\alpha \in\{a ; b\}$
Theorem: Given $w_{1}$ and $w_{2}$ such that $w_{1} w_{2} \in O_{2}, \operatorname{Inv}\left(w_{1}, w_{2}\right)$ is derivable.

## A graphical interpretation of $\mathrm{O}_{2}$.

Graphical interpretation of the word $\bar{a} \bar{a} \bar{b} a a \bar{b} a a b b b b b \bar{a} \overline{b b} \bar{a} b b b b a a a a b b b b b b b b \bar{a} \overline{a a}:$


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The words in $\mathrm{O}_{2}$ are precisely the words that are represented as closed curves: $\bar{b} \bar{a} \bar{b} \bar{b} a b a b \bar{b} a b b a b b \bar{a} \bar{b} \bar{a} b b a a a b b b \bar{a} \overline{b b} \overline{a a a a} b b a b b b \bar{a} \bar{b} a$


## Parsing with the grammar

Rule $\operatorname{Inv}\left(x_{1} y_{1} x_{2}, y_{2}\right):-\operatorname{Inv}\left(x_{1}, x_{2}\right), \operatorname{Inv}\left(y_{1}, y_{2}\right)$


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## The proof of the Theorem

Theorem: Given $w_{1}$ and $w_{2}$ such that $w_{1} w_{2} \in O_{2}, \operatorname{Inv}\left(w_{1}, w_{2}\right)$ is derivable.

The proof is done by induction on the lexicographically ordered pairs $\left(\left|w_{1} w_{2}\right|, \max \left(\left|w_{1}\right|,\left|w_{2}\right|\right)\right)$.
There are five cases:
Case 1: $w_{1}$ or $w_{2}$ equal $\epsilon$ :

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Case 1: $w_{1}$ or $w_{2}$ equal $\epsilon$ :
w.l.o.g., $w_{1} \neq \epsilon$, then by induction hypothesis, for any $v_{1}$ and $v_{2}$ different from $\epsilon$ such that $w_{1}=v_{1} v_{2}, \operatorname{Inv}\left(v_{1}, v_{2}\right)$ is derivable then:

$$
\frac{\operatorname{In} v\left(v_{1}, v_{2}\right) \operatorname{In} v(\epsilon, \epsilon)}{\operatorname{In} v\left(v_{1} v_{2}=w_{1}, \epsilon\right)} \operatorname{Inv}\left(x_{1} x_{2} y_{1}, y_{2}\right):-\operatorname{Inv}\left(x_{1}, x_{2}\right), \operatorname{Inv}\left(y_{1}, y_{2}\right)
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Case 2: $w_{1}=s_{1} w_{1}^{\prime} s_{2}$ and $w_{2}=s_{3} w_{2}^{\prime} s_{4}$ and for $i, j \in\{1 ; 2 ; 3 ; 4\}$, s.t. $i \neq j,\left\{s_{i} ; s_{j}\right\} \in\{\{a ; \bar{a}\} ;\{b ; \bar{b}\}\}$ :

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e.g., if $i=1, j=2, s_{1}=a$ and $s_{2}=\bar{a}$ then by induction hypothesis $\operatorname{Inv}\left(w_{1}^{\prime}, w_{2}\right)$ is derivable and:

$$
\frac{\operatorname{Inv}(a, \bar{a}) \operatorname{Inv}\left(w_{1}^{\prime}, w_{2}\right)}{\operatorname{Inv}\left(a w_{1}^{\prime} \bar{a}, w_{2}\right)} \operatorname{Inv}\left(x_{1} y_{1} x_{2}, y_{2}\right):-\operatorname{Inv}\left(x_{1}, x_{2}\right), \operatorname{Inv}\left(y_{1}, y_{2}\right)
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## The proof of the Theorem

Theorem: Given $w_{1}$ and $w_{2}$ such that $w_{1} w_{2} \in O_{2}, \operatorname{Inv}\left(w_{1}, w_{2}\right)$ is derivable.

The proof is done by induction on the lexicographically ordered pairs $\left(\left|w_{1} w_{2}\right|, \max \left(\left|w_{1}\right|,\left|w_{2}\right|\right)\right)$.
There are five cases:
Case 5: $w_{1}$ and $w_{2}$ do not start or end with compatible letters, the curve representing then do not intersect and do not start or end with a loop.

## Solving case 5: towards geometry

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- if $w_{2}^{\prime}=v_{1}^{\prime} v_{2}^{\prime} v_{3}^{\prime}$ such that $v_{1}^{\prime} v_{3}^{\prime}$ and $w_{1}^{\prime} v_{2}^{\prime}$ are in $O_{2}$ then $w_{2}=v_{1} v_{2} v_{3}$ so that $v_{1} v_{3}$ and $w_{1} v_{2}$ are in $O_{2}$.


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- we will prove the existence of such $v_{1}^{\prime}, v_{2}^{\prime}$ and $v_{3}^{\prime}$ for any such $w_{1}^{\prime}$ and $w_{2}^{\prime}$.

Solving case 5: a geometrical invariant


Solving case 5: a geometrical invariant


## Solving case 5: a geometrical invariant

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## Outline

The MIX and $O_{2}$ languages

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A grammar for $\mathrm{O}_{2}$

A Theorem on Jordan curves

## Conclusion and conjectures

## On Jordan curves



Figure 13.1 Two Jordan curves.
illustration from: A combinatorial introduction to topology by Michael Henle (Dover Publications).

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Theorem: There is $k \in\{-1 ; 1\}$ such that the winding number of Jordan curve around a point in its interior is $k$, its winding number around a point in its exterior is 0 .

## A theorem on Jordan curves

Theorem: If $A$ and $D$ are two points on a Jordan curve $J$ such that there are two points $A^{\prime}$ and $D^{\prime}$ inside $J$ such that $\overrightarrow{A D}=\overrightarrow{A^{\prime} D^{\prime}}$, then there are two points $B$ and $C$ pairwise distinct from $A$ and $D$ such that $A, B, C$, and $D$ appear in that order on one of the arcs going from $A$ to $D$ and $\overrightarrow{A D}=\overrightarrow{B C}$.


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Simple curves, translations, intersections and the complex exponential

Let's suppose that $D-A=1$
let $\varphi:\left\{\begin{array}{rll}\mathbb{C} & \rightarrow & \mathbb{C}-\{0\} \\ z & \rightarrow & e^{2 i \pi z}\end{array}\right.$.

$\varphi$ transforms arcs performing translation of $k$ into arc that have $k$ as winding number around 0 .

Simple curves, translations, intersections and the complex exponential

Let's suppose that $D-A=1$ and that $A_{0}=A^{\prime}=0$,
$A_{1}=D^{\prime}=1, \ldots, A_{k}=k$
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$\varphi$ sums up the winding number of a Jordan curve around the $A_{i}$ as the winding number around $\varphi\left(A_{0}\right)=\varphi(0)=1$.

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Lemma: a simple path $J$ from $A$ to $D$ (resp. $D$ to $A$ ) does not contain $B$ and $C$ as required in the Theorem iff $\varphi(J)$ is a simple curve of $\mathbb{C}-\{0\}$ that belong to the homotopy class 1 (resp. -1 ).

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Corollary: if $J$ is a simple closed curve of $\mathbb{C}$ composed with two curves $J_{1}$ and $J_{2}$ respectively going from $A$ to $D$ and $D$ to $A$ which do not contain points $B$ and $C$ as required in the Theorem then $w n(\varphi(J), 1)=w n\left(\varphi\left(J_{1}\right), 1\right)+w n\left(\varphi\left(J_{2}\right), 1\right)$ is in $\{-1 ; 0 ; 1\}$.

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Lemma: if $J$ is a simple closed curve of $\mathbb{C}$ composed with two curves $J_{1}$ and $J_{2}$ respectively going from $A$ to $D$ and $D$ to $A$ such that 0 and 1 are in the interior of $J$, then either $w n(\varphi(J), 1)<-1$ or $w n(\varphi(J), 1)>1$.

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The Theorem follows by contradiction.

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> The MIX and $\mathrm{O}_{2}$ languages

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> A grammar for $\mathrm{O}_{2}$

> A Theorem on Jordan curves

Conclusion and conjectures

## Conclusion

- we have showed that $O_{2}$ is a $2-M C F L$ exhibiting the first non-virtually free group language that is proved to belong to an interesting class of language,
- this implies that contrary to the usual conjecture we have showed that MIX is a $2-\mathrm{MCFLs}$.


## Conjectures

## Well-nestedness:

Well-nested

$$
\frac{\operatorname{Inv}\left(y_{1} x_{1} x_{2}, y_{2}\right):-\operatorname{lnv}\left(x_{1}, x_{2}\right), \operatorname{Inv}\left(y_{1}, y_{2}\right)}{\text { Not well-nested }}
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$M C F G_{w n}$ are MCFGs with well-nested rules.

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MCFG $_{w n}$ are MCFGs with well-nested rules.

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Open question:

- Is $O_{3}$ an MCFL?

