Tableaux for Propositional Logic

1. Signed formulas: TA, FA

$$v_M(TA) = v_M(A)$$
$$v_M(FA) = \begin{cases} t & \text{if } v_M(A) = f, \\ f & \text{if } v_M(A) = t. \end{cases}$$

- 2. A *(signed) tableau* is a certain kind of binary, labeled ordered tree where each node is labeled by a signed formula. A *path* in a tableau is a sequence of nodes starting from the root node and ending in a leaf node (i.e., node without children).
- 3. Example: Show $(p \lor (q \land r)) \to ((p \lor q) \land (p \lor r))$ is a tautology.

$$\begin{array}{c} F\left(p \lor (q \land r)\right) \rightarrow \left((p \lor q) \land (p \lor r)\right) \\ T p \lor (q \land r) \\ F\left(p \lor q\right) \land (p \lor r) \\ \hline T p & T q \land r \\ \hline T p & T q \land r \\ \hline T p & T q \land r \\ \hline F p \lor q & F p \lor r & T r \\ F p & F p \\ F q & F r & F p \lor q & F p \lor r \\ \times & \times & F p & F p \\ \hline F q & F r & F p \lor F p \\ \hline F q & F r \\ \times & \times & \times \end{array}$$

4. Tableau expansion rules:

$$\begin{array}{c|c} \frac{T \neg A}{FA} & \frac{F \neg A}{TA} & \frac{TA \rightarrow B}{FA \mid TB} & \frac{FA \rightarrow B}{TA} \\ \hline \frac{TA \land B}{TA} & \frac{FA \land B}{FA \mid FB} & \frac{TA \leftrightarrow B}{TA \mid FA} & \frac{FA \leftrightarrow B}{FB} \\ \hline \frac{TA \land B}{TB} & \frac{FA \land B}{FA \mid FB} & \frac{TA \leftrightarrow B}{TB \mid FB} & \frac{FA \leftrightarrow B}{TA \mid FA} \\ \hline \frac{TA \lor B}{TA \mid TB} & \frac{FA \lor B}{FA} \\ \hline \frac{FA \lor B}{FB} & \frac{FA \lor B}{FA} \\ \hline \end{array}$$

5. Meanings of tableau expansion rules:

 $\frac{X}{Y}$: adjoin a new node labeled by Y at the end of a path passing through a node labeled by X

 $\overline{Y_1}$: adjoin a new node labeled by Y_1 , then a new node labeled by Y_2 , at the end of a Y_2

path passing through a node labeled by \boldsymbol{X}

 $\frac{X}{Y \mid Z}$: adjoin a new node labeled by Y and a new node labeled by Z at the end of a path passing through a node labeled by X (the two new nodes become the two children of the endpoint of the old path, splitting the path into two)

 $\begin{array}{c|c} X \\ \hline Y_1 & Z_1 \\ Y_2 & Z_2 \end{array}$: adjoin a new node labeled by Y_1 and a new node labeled by Z_1 at the end

of a path passing through a node labeled by X (the two new nodes become the two children of the endpoint of the old path, splitting the path into two), then a new node labeled by Y_2 at the end of the first path, and a new node labeled by Z_2 at the end of the second path

- 6. Tableaux for a signed formula X:
 - The tree with just one node labeled by X is a tableau for X.
 - If \mathcal{T}_1 is a tableau for X, and \mathcal{T}_2 results from an application of a tableau expansion rule to \mathcal{T}_1 , then \mathcal{T}_2 is a tableau for X.
- 7. A path is *closed* iff it contains both *T A* and *F A* for some *A*; otherwise *open*. A tableau is *closed* iff all its paths are closed; otherwise *open*.
- 8. A path ρ obeys tableau expansion rule
 - $\frac{X}{Y}$ if whenever a signed formula of the form X is on ρ , Y is also on ρ .
 - $\frac{X}{Y_1}$ if whenever a signed formula of the form X is on ρ , both Y_1 and Y_2 are on ρ . Y_2
 - $\frac{X}{Y \mid Z}$ if whenever a signed formula of the form X is on ρ , either Y or Z (or both) is on ρ .
 - $\begin{array}{c|c} X \\ \hline Y_1 & Z_1 \\ Y_2 & Z_2 \\ \text{are on } \rho, \text{ or else } Z_1 \text{ and } Z_2 \text{ are on } \rho. \end{array}$ if whenever a signed formula of the form X is on ρ , either Y_1 and Y_2 are on ρ .
- 9. A path ρ in a tableau for X is *finished* (complete/replete) iff it obeys all the tableau expansion rules. A tableau for X is *finished* (complete/replete) if all its open paths are finished.
- 10. There is an algorithm that, given a signed formula X, finds a finite finished tableau for $X.^1$
- 11. Examples. $F((p \to q) \land (q \to r)) \to (p \to r)$ $F \neg ((p \lor q) \to (p \land q))$
- 12. Tableaux for a set S of signed formulas:
 - If $X \in S$, then the tree with just one node labeled by X is a tableau for S.
 - If \mathcal{T}_1 is a tableau for S and \mathcal{T}_2 results from an application of a tableau expansion rule to \mathcal{T}_1 , then \mathcal{T}_2 is a tableau for S.
 - If \mathcal{T}_1 is a tableau for S and \mathcal{T}_2 results from adjoining a node labeled by some $Y \in S$ at the end of some path in \mathcal{T}_1 , then \mathcal{T}_2 is a tableau for S.

(If S is finite, all its members can be introduced before applying any tableau expansion rules.)

- 13. A path ρ in a tableau for S is *finished* iff ρ obeys all the tableau expansion rules and all signed formulas in S are on ρ . A tableau for S is *finished* iff all its open paths are finished.
- 14. There is an algorithm that, given a *finite* set S of signed formulas, finds a finite finished tableau for S.
- 15. A signed formula X is *consistent* iff there is no finite closed tableau for X. A (finite or infinite) set S of signed formulas is *consistent* iff there is no finite closed tableau for S. (Note that a finite closed tableau for S must be a closed tableau for some finite subset of S.)
- 16. Soundness Theorem. Every satisfiable signed formula is consistent. Every satisfiable set of signed formulas is consistent.
- 17. A tableau proof of an unsigned formula A is a finite closed tableau for FA. An unsigned formula A is provable iff there is a proof for A.
- 18. A tableau proof of an unsigned formula A from a set S of unsigned formulas is a finite closed tableau for $\{TB \mid B \in S\} \cup \{FA\}$. We say A is deducible from S if there is a tableau proof of A from S.

 $^{^{1}}$ As defined above, all tableaux are finite. However, we will introduce a way of building infinite tableaux later.

19. Exercise. Find a tableau proof of

$$((((p \to q) \to (\neg r \to \neg s)) \to r) \to t) \to ((t \to p) \to (s \to p)).$$

20. Exercise. Find a tableau proof of $p \wedge q \wedge r \wedge s$ from

$$\{p \to q, q \to r, r \to s, s \to p, p \lor q \lor r \lor s\}.$$

- 21. Soundness Theorem (an alternative formulation for the single formula case). Every provable formula is a tautology.
- 22. Soundness Theorem (an alternative formulation). Let A be an unsigned formula and S be a set of unsigned formulas. If A is provable from S, then A is a truth-functional consequence of S.
- 23. Soundness Theorem (restated). If a set S of signed formulas is satisfiable, every finite tableau for S is open.

Proof. Let M be an assignment such that $v_M(X) = t$ for all $X \in S$. Let \mathcal{T} be a finite tableau for S. We show by induction on the construction of \mathcal{T} that \mathcal{T} has a path ρ such that $v_M(Y) = t$ for all Y on ρ . This obviously implies that ρ is open.

Induction Basis. \mathcal{T} consists of just one node labeled by some $X \in S$. Then $v_M(X) = t$ by assumption.

Induction Step.

Case 1. \mathcal{T} results from an application of a tableau expansion rule to a path ρ' in \mathcal{T}' . By induction hypothesis, \mathcal{T}' has a path ρ such that $v_M(Y) = t$ for all Y on ρ . Case 1a. $\rho \neq \rho'$. Then ρ is still a path in \mathcal{T} , so the claim holds for \mathcal{T} with respect to ρ . Case 1b. $\rho = \rho'$. Then ρ is extended to one or two paths in \mathcal{T} . At least one of these paths must satisfy the condition in the claim, as can be easily verified by examining each tableau expansion rule.

Case 2. \mathcal{T} results from adjoining some $X \in S$ to some path ρ' in \mathcal{T}' . By induction hypothesis, \mathcal{T}' has a path ρ such that $v_M(Y) = t$ for all Y on ρ . Case 2a. $\rho \neq \rho'$. Then ρ is still a path in \mathcal{T} , so the claim holds for \mathcal{T} with respect to ρ . Case 2b. $\rho = \rho'$. Then ρ is extended to a path in \mathcal{T} with an additional node labeled by some $X \in S$. But $v_M(X) = t$ by assumption, so all signed formulas on this new path are true under M.

24. Completeness Theorem. Every consistent signed formula X is satisfiable. Every consistent set S of signed formulas is satisfiable.

We first prove the Completeness Theorem for the case where S is a finite set.

- 25. Completeness Theorem (an alternative formulation for the single formula case). If a formula A is a tautology, then A is provable.
- 26. Lemma for the Completeness Theorem. If there is a finished open tableau for a signed formula X, then X is satisfiable. If there is a finished open tableau for a set S of signed formulas, then S is satisfiable.
- 27. A set S of signed formulas is a *Hintikka set* iff
 - There is no propositional variable p such that both T p and F p are in S, and
 - S obeys the tableau expansion rules.²
- 28. Hintikka's Lemma. If a set S of signed formulas is a Hintikka set, then S is satisfiable. *Proof.* Define an assignment M by

$$M(p) = \begin{cases} t & \text{if } T \ p \in S, \\ f & \text{otherwise.} \end{cases}$$

We show by induction that for all formulas $A, TA \in S$ implies $v_M(TA) = t$ and $FA \in S$ implies $v_M(FA) = t$.

²The definition of what it means for a *set* of signed formulas to obey a tableau expansion rule is almost identical to the definition of what it means for a *path* in a tableau to obey the rule.

Induction Basis. A is some propositional variable p. If $T p \in S$, then $v_M(p) = t$ by the definition of M. If $F p \in S$, then since S is a Hintikka set, $T p \notin S$. So $v_M(p) = f$ and $v_M(F p) = t$ by the definition of M.

Induction Step. Case 1. $A = \neg B$. If $T A \in S$, then since S is a Hintikka set, $F B \in S$. By induction hypothesis, $v_M(F B) = t$, so $v_M(T A) = t$. If $F A \in S$, then since S is a Hintikka set, $T B \in S$. By induction hypothesis, $v_M(T B) = t$, so $v_M(F A) = t$.

Case 2. $A = B \wedge C$. If $TA \in S$, then since S is a Hintikka set, $TB, TC \in S$. By induction hypothesis, $v_M(TB) = v_M(TC) = t$, so $v_M(TA) = t$. If $FA \in S$, then since S is a Hintikka set, either FB or FC is in S. By induction hypothesis, either $v_M(FB) = t$ or $v_M(FC) = t$. In either case, $v_M(FA) = t$.

Cases 3–5. Similar.

- 29. Proof of the Lemma for the Completeness Theorem. Let \mathcal{T} be a finished open tableau for S. Then \mathcal{T} has an open path ρ (which is finished). Since ρ is open and obeys all the tableau expansion rules, the set of signed formulas on ρ is a Hintikka set, and is thus satisfiable. Since all signed formulas in S appear on ρ , this means that S is satisfiable.
- 30. Proof of the Completeness Theorem (for the single formula case). Suppose that A is not provable. This means that there is no finite closed tableau for FA. But FA always has a finite finished tableau, which must be open. By the Lemma for the Completeness Theorem, FA is satisfiable, so A is not a tautology.
- 31. The Completeness Theorem for the finite set case is left as an exercise.