### Pumping Lemma for m-MCFLwn

**Theorem** (Kanazawa 2009).  $L \in m$ -MCFL<sub>wn</sub>  $\Rightarrow L$  is 2*m*-iterative.

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The proof of the Pumping Lemma for m-MCFLwn is more complex.

# Pumping Lemma for PDA



 ¬(All but finitely many accepting computations reach stack height |Q|<sup>2</sup>)

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• { w | w has an accepting computation that doesn't reach stack height  $|Q|^2$  } is regular

The proof in each case is somewhat similar to the proof of the pumping lemma for CFLs using PDA, rather than CFG.

# set of accepting computations of PDA M





### w has a derivation tree with even *m*-pump $\Rightarrow$ w is 2*m*-pumpable

 $w = u_0 v_1 u_1 \dots v_{2m} u_{2m}$  $v_1 \dots v_{2m} \neq \varepsilon$  $u_0 v_1^n u_1 \dots v_{2m}^n u_{2m} \in L(G) \text{ for all } n \ge 0$ 

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set of derivation trees of  $G \in m$ -MCFG<sub>wn</sub>



If the derivation tree contains an even m-pump, the string is 2m-pumpable. Otherwise, the string is in the language of some w.n. (m-1)-MCFG, and therefore is 2(m-1)-pumpable (disregarding finitely many exceptions). Proof by induction on m.

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#### set of derivation trees of $G \in m$ -MCFG<sub>wn</sub>

#### projection



### m-proper rules

### $B(\mathbf{x}_{1}, \mathbf{y}_{1}, \mathbf{z}_{1}, \mathbf{z}_{2}, \mathbf{y}_{2}, \mathbf{y}_{3}, \mathbf{y}_{3}, \mathbf{z}_{2}, \mathbf{y}_{3}) \leftarrow m = 3$ $A(\mathbf{x}_{1}, \mathbf{x}_{2}), B(\mathbf{y}_{1}, \mathbf{y}_{2}, \mathbf{y}_{3}), C(\mathbf{z}_{1}, \mathbf{z}_{2})$

m-proper on the second subgoal

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#### The "spine" of an even *m*-pump consists of *m*-proper rules



# m-degree

- *m*-degree of a rule is 0 if dimention of lefthand side nonterminal < *m*
- otherwise *m*-degree = number of righthand side nonterminals of dimension *m*

 $B(x_1y_1x_2, z_1y_2ay_3b, cz_2d) \leftarrow m = 3$   $A(x_1, x_2), B(y_1, y_2, y_3), C(z_1, z_2)$ m-degree = 1

# Program Transformation



The proof of this claim is by successive transformations on the grammar.

#### *m* = 2

 $\pi_{1}: S(\mathbf{x}_{1}\mathbf{x}_{2}) \leftarrow B(\mathbf{x}_{1}, \mathbf{x}_{2})$   $\pi_{2}: B(a\mathbf{x}_{1}b, c\mathbf{x}_{2}d) \leftarrow A(\mathbf{x}_{1}, \mathbf{x}_{2})$   $\pi_{3}: A(a\mathbf{x}_{1}b\mathbf{x}_{2}c, d) \leftarrow A(\mathbf{x}_{1}, \mathbf{x}_{2})$  $\pi_{4}: A(\varepsilon, \varepsilon) \leftarrow$ 

#### *m*-proper rule

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#### unfolding

 $\pi_{1}: S(\mathbf{x}_{1}\mathbf{x}_{2}) \leftarrow B(\mathbf{x}_{1}, \mathbf{x}_{2})$   $\pi_{2} \circ \pi_{3}: B(aa\mathbf{x}_{1}b\mathbf{x}_{2}cb, cdd) \leftarrow A(\mathbf{x}_{1}, \mathbf{x}_{2})$   $\pi_{2} \circ \pi_{4}: B(ab, cd) \leftarrow$   $\pi_{3}: A(a\mathbf{x}_{1}b\mathbf{x}_{2}c, d) \leftarrow A(\mathbf{x}_{1}, \mathbf{x}_{2})$   $\pi_{4}: A(\varepsilon, \varepsilon) \leftarrow$ 

A rule is m-proper if the head nonterminal is m-ary and there is an m-ary nonterminal on the right-hand side, each of whose arguments appear in the corresponding argument of the head nonterminal.

Unfold until there is no m-proper rule. This procedure terminates because the grammar does not allow an even m-pump.

 $\pi_{1}: S(\mathbf{x}_{1}\mathbf{x}_{2}) \leftarrow B(\mathbf{x}_{1}, \mathbf{x}_{2})$   $\pi_{5}: B(aa\mathbf{x}_{1}b\mathbf{x}_{2}cb, cdd) \leftarrow A(\mathbf{x}_{1}, \mathbf{x}_{2}) \qquad m\text{-degree} = I$   $\pi_{6}: B(ab, cd) \leftarrow$   $\pi_{3}: A(a\mathbf{x}_{1}b\mathbf{x}_{2}c, d) \leftarrow A(\mathbf{x}_{1}, \mathbf{x}_{2}) \qquad m\text{-degree} = I$   $\pi_{4}: A(\varepsilon, \varepsilon) \leftarrow$ 

### unfolding<sup>-1</sup>

 $\pi_{1}: S(\mathbf{x}_{1}\mathbf{x}_{2}) \leftarrow B(\mathbf{x}_{1}, \mathbf{x}_{2})$   $\pi_{5.1}: B(aa\mathbf{x}cb, cdd) \leftarrow C(\mathbf{x})$   $\pi_{5.2}: C(\mathbf{x}_{1}b\mathbf{x}_{2}) \leftarrow A(\mathbf{x}_{1}, \mathbf{x}_{2})$   $\pi_{2}: B(ab, cd) \leftarrow$   $\pi_{3.1}: A(a\mathbf{x}c, d) \leftarrow D(\mathbf{x})$   $\pi_{3.2}: D(\mathbf{x}_{1}b\mathbf{x}_{2}) \leftarrow A(\mathbf{x}_{1}, \mathbf{x}_{2})$   $\pi_{4}: A(\varepsilon, \varepsilon) \leftarrow$ 

 $\pi_5 = \pi_{5.1} \circ \pi_{5.2}$ 

 $\pi_3 = \pi_{3.1} \circ \pi_{3.2}$ 

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The m-degree of a rule is 0 if the arity of the head nonterminal is < m; otherwise it's the number of m-ary nonterminals on the right-hand side. Do the converse of unfolding.  $\pi_1: S(\mathbf{x}_1 \mathbf{x}_2) \leftarrow B(\mathbf{x}_1, \mathbf{x}_2)$  $\pi_{5.1}$ : B(aa**x**cb, cdd) \leftarrow C(**x**)  $\pi_{5.2}$ :  $C(\mathbf{x}_1 b \mathbf{x}_2) \leftarrow A(\mathbf{x}_1, \mathbf{x}_2)$  $\pi_2$ : B(ab, cd)  $\leftarrow$  $\pi_{3.1}$ : A(a**x**c, d) \leftarrow D(**x**)  $\pi_{3.2}: D(\mathbf{x}_1 b \mathbf{x}_2) \leftarrow A(\mathbf{x}_1, \mathbf{x}_2)$ **π**<sub>4</sub>: A(ε, ε) ← unfolding  $\pi_1 \circ \pi_{5.1}$ : S(aa**x**cbcdd) \leftarrow C(**x**)  $\pi_1 \circ \pi_2$ : S(abcd)  $\leftarrow$  $\pi_{5.2} \circ \pi_{3.1}$ : C(axcbd) \leftarrow D(x)  $\pi_{5.2} \circ \pi_4$ :  $C(b) \leftarrow$  $\pi_{3.2} \circ \pi_{3.1}$ :  $D(a\mathbf{x}cbd) \leftarrow D(\mathbf{x})$ **π**<sub>3.2</sub> ∘ **π**<sub>4</sub>: *D*(*b*) ←

Now each rule contains m-ary nonterminals only on one side of the rule, if any. Unfolding eliminates all m-ary nonterminals.

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# Program Transformation



# Reduction of m-degrees

m = 3

# $B(\mathbf{x}_1\mathbf{y}_1\mathbf{z}_1, \mathbf{z}_2\mathbf{y}_2a\mathbf{y}_3b, c\mathbf{x}_2d) \leftarrow A(\mathbf{x}_1, \mathbf{x}_2), B(\mathbf{y}_1, \mathbf{y}_2, \mathbf{y}_3), C(\mathbf{z}_1, \mathbf{z}_2)$

unfolding<sup>-1</sup>

 $B(\mathbf{x}_1, \mathbf{w}_1, \mathbf{w}_2, \mathbf{x}_2, \mathbf{z}_2, \mathbf{$ 

The well-nestedness assumption is necessary in the second step. Here's a case of a well-nested rule.

# Reduction of m-degrees

m = 3

# $B(\mathbf{x}_1\mathbf{y}_1\mathbf{x}_2, \mathbf{z}_1\mathbf{y}_2a\mathbf{y}_3b, c\mathbf{z}_2d) \leftarrow A(\mathbf{x}_1, \mathbf{x}_2), B(\mathbf{y}_1, \mathbf{y}_2, \mathbf{y}_3), C(\mathbf{z}_1, \mathbf{z}_2)$

unfolding<sup>-1</sup>

If a rule is non-well-nested, the procedure does not work.

set of derivation trees of  $G \in m$ -MCFG<sub>wn</sub>



If the derivation tree contains an even m-pump, the string is 2m-pumpable. Otherwise, the string is in the language of some w.n. (m-1)-MCFG, and therefore is 2(m-1)pumpable (disregarding finitely many exceptions). Proof by induction on m.

### Pumping Lemma for m-MCFLwn

**Theorem** (Kanazawa 2009).  $L \in m$ -MCFL<sub>wn</sub>  $\Rightarrow L$  is 2*m*-iterative.

The proof of the Pumping Lemma for m-MCFLwn is more complex.

# Reduction of m-degrees

m = 2

For m = 2, the procedure works even when the rule is non-well-nested.

### Pumping Lemma for 2-MCFL

**Theorem** (Kanazawa 2009).  $L \in 2$ -MCFL  $\Rightarrow L$  is 4-iterative.

The Pumping Lemma holds for 2-MCFL.



The proof shows that a 2-MCFL is 4-iterative.

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### Counterexample in 3-MCFL

 $H(\mathbf{x}_{2}) \leftarrow G(\mathbf{x}_{1}, \mathbf{x}_{2}, \mathbf{x}_{3})$   $G(a\mathbf{x}_{1}, \mathbf{y}_{1}c\mathbf{x}_{2}cd\mathbf{y}_{2}d\mathbf{x}_{3}, \mathbf{y}_{3}b) \leftarrow G(\mathbf{x}_{1}, \mathbf{x}_{2}, \mathbf{x}_{3}), G(\mathbf{y}_{1}, \mathbf{y}_{2}, \mathbf{y}_{3})$  $G(a, \varepsilon, b) \leftarrow$ 

 $\begin{array}{c} a := \varepsilon, b := \varepsilon \\ \varphi: H \longrightarrow V \\ bijection \end{array}$ 

 $V \rightarrow \varepsilon \mid c V \overline{c} \, d V \overline{d}$ 

 $V \subseteq D_2^*$ 

Pumping fails for m-MCFLs for (m > 2). Here's an example of a 3-MCFL that is not k-iterative for any k.

#### tree representation of $D_2^*$



#### perfect binary tree



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### $w \in fac(\{v_n \mid n \geq 0\}) \land ww \in fac(H)$

### $\varphi(w) \in c^* \mid cV \ \overline{c} dc^* \mid \overline{d}^* \mid \overline{d}^* c dV \ \overline{d} \mid V c dc^+ \mid \overline{d}^+ c dV$

 $v \in H$  is said to be almost anti-iterative if  $v = u_0 w_1 u_1 \dots w_k u_k \wedge w_1 \dots w_k \neq \varepsilon$  for any  $k \ge 1$ implies there is at most one i > 1 such that  $u_0 w_1^i u_1 \dots w_k^i u_k \in H$ 

**Theorem.** For each  $n \ge 0$ ,  $v_n$  is almost anti-iterative.







# **Corollary.** There is a 3-MCFL that is not *k*-iterative for any *k*.

Kanazawa, Kobele, Michaelis, Salvati, & Yoshinaka 201x

# MCFL vs. MCFL<sub>wn</sub> vs. C

 $\{w \# w \mid w \in D_1^*\}$ Kanazawa and Salvati 2010 2-MCFL MIX?  $\{w \# w \# w \mid w \in D_1^*\}$  $\{a_1^n \dots a_{2m}^n \mid n \ge 0\}$ Engelfriet and Skyum 1976  $\{ w^{m+1} \mid w \in \{a, b\}^* \}$ **RESP**<sub>m</sub> Staudacher 1993 Michaelis 2005  $\left\{ w_{1} \dots w_{n} z_{n} w_{n} z_{n-1} \dots z_{n-1} w_{n} z_{0} w_{1}^{R} \dots w_{n}^{R} \right\}$  $n \in \mathbb{N}, w_i \in \{c, d\}^+, z_n \dots z_n \in D_1^*\}$ 

Since every language in C is k-iterative for some k, this language separates MCFL from C.

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# Limited Cross-Serial Dependencies

"MCSGs capture only certain kinds of dependencies, such as nested dependencies and certain limited kinds of crossing dependencies (for example, in subordinate clause constructions in Dutch or some variations of them, but perhaps not in the so-called MIX ... language ...)"

Joshi, Vijay-Shanker, and Weir 1991

$$MIX = \{ w \in \{a,b,c\}^* \mid |w|_a = |w|_b = |w|_c \}$$

The language MIX was supposed to be outside of the class of mildly context-sensitive languages.

"[No human language] has ... complete freedom for order." Bach 1981

"[MIX represents] an extremely case of the degree of free word order permitted in a language ... which is linguistically not relevant." Joshi 1985

"... it seems rather unlikely that any natural language will turn out to have a MIX-like characteristic." Gazdar 1985 "TAGs cannot generate this language, although for TAGs the proof is not in hand yet." Joshi 1985

"I conjecture that this language is not indexed." Marsh 1986

"It is not known whether TAG ... can generate MIX. This has turned out to be a very difficult problem." Joshi et al. 1991

### Some Facts about MIX

rational transduction

 $L_1 \geq L_2 \Leftrightarrow \exists g h R (L_2 = g(h^{-1}(L_1) \cap R))$ 

g, h: homomorphisms R: regular set

#### $L_1 \equiv L_2 \Leftrightarrow L_1 \geq L_2 \wedge L_1 \leq L_2$

rationally equivalent

 $\mathcal{L}$  is a rational cone  $\Leftrightarrow \mathcal{L}$  is closed under  $\geq$ 

### Some Facts about MIX

 $MIX \equiv O_2$ 

 $O_{2} = \{ w \in \{a, \overline{a}, b, \overline{b}\}^{*} \mid |w|_{a} = |w|_{\overline{a}}, |w|_{b} = |w|_{\overline{b}} \}$   $g(O_{2} \cap (a \cup b \cup \overline{ab})^{*}) = MIX$  g(a) = a g(b) = b  $g(\overline{a}) = c$   $g(\overline{b}) = \varepsilon$   $O_{2} \ge MIX$ 

$$h^{-1}(MIX \cap (a^2 \cup (ab)^2 \cup (bc)^2 \cup c^2)^*) = O_2$$
  
 $h(a) = a^2$   
 $h(\overline{a}) = (bc)^2$   
 $h(b) = (ab)^2$   
 $h(\overline{b}) = c^2$ 

 $MIX \geq O_2$ 

# Combinatorial Group Theory

"... it does not ... seem to be known whether or not the word problem of  $Z \times Z$  is indexed." Gilman 2005



### **Theorem** (Salvati). $O_2 \in 2$ -MCFL

$$\begin{array}{c} S(xy) \leftarrow \mathit{Inv}(x,y) \\ \hline Inv(x_1y_1, y_2x_2) \leftarrow \mathit{Inv}(x_1, x_2), \mathit{Inv}(y_1, y_2) \\ Inv(x_1x_2y_1, y_2) \leftarrow \mathit{Inv}(x_1, x_2), \mathit{Inv}(y_1, y_2) \\ Inv(y_1, x_1x_2y_2) \leftarrow \mathit{Inv}(x_1, x_2), \mathit{Inv}(y_1, y_2) \\ Inv(y_1, y_2x_1x_2) \leftarrow \mathit{Inv}(x_1, x_2), \mathit{Inv}(y_1, y_2) \\ Inv(\alpha x_1 \overline{\alpha}, x_2) \leftarrow \mathit{Inv}(x_1, x_2) \\ Inv(\alpha x_1, \overline{\alpha} x_2) \leftarrow \mathit{Inv}(x_1, x_2) \\ Inv(\alpha x_1, \overline{\alpha} x_2) \leftarrow \mathit{Inv}(x_1, x_2) \\ Inv(x_1\alpha, \overline{\alpha} x_2) \leftarrow \mathit{Inv}(x_1, x_2) \\ Inv(x_1\alpha, \overline{\alpha} x_2) \leftarrow \mathit{Inv}(x_1, x_2) \\ Inv(x_1\alpha, x_2\overline{\alpha}) \leftarrow \mathit{Inv}(x_1, x_2) \\ Inv(x_1, y_1x_2, y_2) \leftarrow \mathit{Inv}(x_1, x_2) \\ Inv(x_1, y_1x_2y_2) \leftarrow \mathit{Inv}(x_1, x_2), \mathit{Inv}(y_1, y_2) \\ Inv(\epsilon, \epsilon) \leftarrow \end{array}$$

where  $\alpha \in \{a; b\}$ Theorem: Given  $w_1$  and  $w_2$  such that  $w_1w_2 \in O_2$ ,  $Inv(w_1, w_2)$  is derivable.


$$\begin{array}{c}
\uparrow a \qquad \downarrow \overline{a} \\
\hline b \qquad \overleftarrow{b} \\
\hline \end{array}$$

#### A theorem on Jordan curves

Theorem: If A and D are two points on a Jordan curve J such that there are two points A' and D' inside J such that  $\overrightarrow{AD} = \overrightarrow{A'D'}$ , then there are two points B and C pairwise distinct from A and D such that A, B, C, and D appear in that order on one of the arcs going from A to D and  $\overrightarrow{AD} = \overrightarrow{BC}$ .



two-sided Dyck language

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### $MIX \equiv O_2 = Shuffle(\hat{D}_1^*, \hat{D}_1^*)$

### **Fact.** Shuffle $(D_1^*, D_1^*) \notin 2$ -MCFL

The one-sided analogue of O2 (the set of curves within the first quadrant) is not a 2-MCFL. This can be proved with the Pumping Lemma for 2-MCFL (Kanazawa 2009).

# $MIX_{k} = \{ w \in \{ a_{1}, ..., a_{k} \}^{*} | \psi(w) = n \cdot (1, ..., 1) \}$ $MIX = MIX_{3}$

**Fact.** If  $\mathcal{L}$  is a rational cone and contains MIX<sub>k</sub> for all k, then  $\mathcal{L}$  contains all commutative semilinear languages.

Generalization of MIX.

Fact.  $MIX \notin 2-MCFL(I)$   $MIX_4 \notin 2-MCFL_{wn}$   $MIX_{k+1} \notin k-MCFL(I)$  $MIX_{k+2} \notin k-MCFL_{wn}$ 

Appropriate refinements of the Pumping Lemma for MCFLwn give these facts.

### **Question.** $MIX_4 \notin MCFL$ ?

### **Question.** MIX $\notin$ MCFL<sub>wn</sub>?

Currently have no idea how to prove these.

### **Theorem.** MIX $\notin$ 2-MCFL<sub>wn</sub>.

Kanazawa & Salvati 2012

### Head Grammars

 $A(x_1x_2y_1, y_2) \leftarrow B(x_1, x_2), C(y_1, y_2)$   $A(x_1, x_2y_1y_2) \leftarrow B(x_1, x_2), C(y_1, y_2)$   $A(x_1y_1, y_2x_2) \leftarrow B(x_1, x_2), C(y_1, y_2)$  $A(w_1, w_2) \leftarrow$ 

 $w_1, w_2 \in \Sigma \cup \{\varepsilon\}$ 

normal form for 2-MCFG<sub>wn</sub>

left concatenation right concatenation wrapping

$$\psi_1(w) = |w|_a - |w|_c,$$
  
$$\psi_2(w) = |w|_b - |w|_c,$$
  
$$\psi(w) = (\psi_1(w), \psi_2(w)).$$

$$w \in MIX$$
 iff  $\psi(w) = (0, 0)$ .

**Lemma 2.** Suppose that  $G = (N, \Sigma, P, S)$  is a head grammar without useless nonterminals such that  $L(G) \subseteq MIX$ . There exists a function  $\Psi_G \colon N \to \mathbb{Z} \times \mathbb{Z}$  such that  $\vdash_G A(u_1, u_2)$  implies  $\psi(u_1u_2) = \Psi_G(A)$ . A *decomposition* of  $w \in \Sigma^*$  is a finite binary tree satisfying the following conditions:

- the root is labeled by some  $(w_1, w_2)$  such that  $w = w_1 w_2$ ,
- each internal node whose left and right children are labeled by (u1, u2) and (v1, v2), respectively, is labeled by one of (u1u2v1, v2), (u1, u2v1v2), (u1v1, v2u2).
- each leaf node is labeled by some  $(s_1, s_2)$  such that  $s_1s_2 \in \{b, c\}^* \cup \{a, c\}^* \cup \{a, b\}^*$ .

### A decomposition is an *n*-decomposition if each node label $(u_1, u_2)$ satisfies $\Psi(u_1, u_2) \in [-n, n] \times [-n, n]$ .

**Lemma 3.** If MIX = L(G) for some head grammar  $G = (\Sigma, N, P, S)$ , then there exists an n such that each  $w \in MIX$  has an n-decomposition.

**Lemma 4.** If each  $w \in MIX$  has an *n*decomposition, then each  $w \in MIX$  has a 2decomposition.

Main Lemma

### **Lemma.** $z = a^5 b^{14} a^{19} c^{29} b^{15} a^5$ has no 2-decomposition.

